

Countering the Financing of Proliferation Workshop
13-14 April 2023
Royal Tulip, Brasilia
Brazil

Day 1: Defining the scope of proliferation financing

0830 – 0900 Arrival and Registration

0900 – 0930 Opening Remarks

0930 – 1030 Proliferation Financing and International Obligations

This session will focus on the scope of proliferation financing, as well as international counter-proliferation financing obligations under UN Resolution 1540 (2004) and relevant UN sanctions regimes.

1030 – 1045 Coffee Break and Networking

1045 – 1215 Proliferation Financing Typologies and Red Flags

RUSI experts will review proliferation financing typologies and red flags related to targeted financial sanctions, revenue-generating activities, and financial and corporate infrastructures. This session will also provide an overview of North Korea's use of diplomats to generate revenue, procure sensitive goods, and support sanctions evasion efforts.

1215 – 1330 Lunch

1330 – 1445 Proliferation Financing Risk Assessment Methodology

This session will outline RUSI's PF risk assessment methodology and will cover the main components of PF risk, as distinct from money laundering and terrorism financing risk. Namely, this will include an overview of PF threats, vulnerabilities and consequences and possible approaches to assessing each of these.

1445 – 1530 Networking

Day 2: Regulatory Approach and Investigations

0830 – 0900 Arrival

0900 – 0930 Summary of Day 1 Findings - Q&A

0930 – 1045 Export Controls

The session will review UNSCR 1540 requirements within the context of illicit WMD procurement, its challenges and consequences. Additionally, RUSI experts will review key typologies and red flags associated with WMD illicit procurement.

1045 – 1100 Coffee Break and Networking

1100 – 1200 Best practices in public-private cooperation on CPF

This session aims at building an understanding of how the public sector can build a well-coordinated, confidence-based national cooperation system on CPF with the private sector, including effective monitoring and supervision of regulated entities on PF, as well as public-private information sharing.

1200 – 1315 Lunch

1315 – 1415 DNFBPs & Exposure to Proliferation Financing

Through a selection of in-depth case studies and mapping of historical PF cases involving DNFBPs, this session will detail how dealers in precious metals and stones and high-value goods dealers, real estate, gatekeeper professions, and casinos are exposed to PF risk.

1415 – 1515 Cryptocurrency Regulatory Frameworks

This session considers a range of measures and best practices that policymakers can take to strengthen regulation of cryptocurrency and prevent North Korea's access and abuse of cryptocurrencies for sanctions evasion purposes.

1515 – 1530 Closing remarks

1530 – 1615 Networking

RUSI experts will be on hand to answer questions and provide additional assistance to the participants